

03-26-01

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0551-0032  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53 (b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)  
(Submits an original and a duplicate for fee processing)
- Applicant claims small entity status.  
See 37 CFR 1.27.
- Specification  
(preferred arrangement set forth below) 18

- Descriptive title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the disclosure

- Drawing(s)/35 U.S.C. 113) 5
- Oath or Declaration 3

- Newly executed (original or copy)
- Copy from a prior application (37 CFR 1.63(d))  
(continuation/divisional with Box 18 completed)
- DELETION OF INVENTOR(S)  
signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).

- Application Data Sheet. See 37 CFR 1.76

Attorney Docket No. 69907

First Inventor Chan

Title HYBRID SWITCHING ARCHITECTURE

Express Mail Label No. EK234975316US

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 202317  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)8 Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)a.  Computer Readable Form (CRF)

b. Specification Sequence Listing on:

i.  CD-ROM or CD-R (2 copies), orii.  paperc.  Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- Assignment Papers (cover sheet & document(s))
- 37 CFR 3.73(b) Statement Power of Attorney
- English Translation Document (if applicable)
- Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
- Preliminary Amendment
- Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- Other. ....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76.

 Continuation  Divisional  Continuation-in-part (CIP) of prior application No. / Group Art Unit: /

Prior application information. Examiner Group Art Unit: /  
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.  
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label			or <input type="checkbox"/> Correspondence address below
Name			
Address			
City	State	Zip Code	Fax
Country	Telephone		

Name (Print/Type) <span style="border: 1px solid black; padding: 2px;">Thomas F. Lebens</span>	Registration No. (Attorney/Agent) <span style="border: 1px solid black; padding: 2px;">38221</span>
Signature 	Date <span style="border: 1px solid black; padding: 2px;">March 22, 2001</span>

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



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# FEE TRANSMITTAL

## for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$ 750)

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Chan
Examiner Name	
Group Art Unit	
Attorney Docket No.	69907

## METHOD OF PAYMENT

<input checked="" type="checkbox"/>	The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:
Deposit Account Number	06-1135
Deposit Account Name	Fitch, Even, Tabin & Flannery
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.27	
2. Payment Enclosed:	
<input type="checkbox"/>	Check
<input type="checkbox"/>	Credit card
<input type="checkbox"/>	Money Order
<input type="checkbox"/>	Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code	Fee (\$)	Fee (\$)
101	710	201	355
106	320	206	160
107	490	207	245
108	710	208	355
114	150	214	75
SUBTOTAL (1) (\$ 710)			

## 2. EXTRA CLAIM FEES

Total Claims	-20%	Extra Claims	Fee from below	Fee Paid
<input type="checkbox"/>	<input type="checkbox"/>	X	=	
<input type="checkbox"/>	<input type="checkbox"/>	X	=	
Multiple Dependent			=	

Large Entity	Small Entity	Fee Description
Fee Code	Fee Code	Fee (\$)
103	18	203
102	80	202
104	270	204
109	80	209
110	18	210
SUBTOTAL (2) (\$ 0)		

\*or number previously paid, if greater. For Reseas, see above

## FEE CALCULATION (continued)

3. ADDITIONAL FEES					
Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		105	130	205	Surcharge - late filing fee or oath
		127	50	227	Surcharge - late provisional filing fee or cover sheet
		139	130	139	Non-English specification
		147	2,520	2,520	For filing a request for ex parte reexamination
		112	920*	920*	Requesting publication of SIR prior to Examiner action
		113	1,840*	1,840*	Requesting publication of SIR after Examiner action
		115	110	215	Extension for reply within first month
		116	390	216	191 Extension for reply within second month
		117	890	217	445 Extension for reply within third month
		118	1,390	218	695 Extension for reply within fourth month
		128	1,890	226	945 Extension for reply within fifth month
		119	310	219	155 Notice of Appeal
		120	310	220	155 Filing a brief in support of an appeal
		121	270	221	134 Request for oral hearing
		138	1,510	138	1,510 Petition to institute a public use proceeding
		140	110	240	55 Petition to revive - unavoidable
		141	1,240	241	624 Petition to revive - unintentional
		142	1,240	242	620 Utility issue fee (or reissue)
		143	440	243	220 Design issue fee
		144	600	244	300 Plant issue fee
		122	130	122	130 Petitions to the Commissioner
		123	50	123	5 Processing fee under 37 CFR 1.17(q)
		126	180	126	180 Submission of Information Disclosure Stmt
		581	40	581	40 Recording each patent assignment per property (including number of properties)
		146	710	246	355 Filing a submission after final rejection (37 CFR § 1.129(a))
		149	710	249	355 For each additional invention to be examined (37 CFR § 1.129(b))
		179	710	279	355 Request for Continued Examination (RCE)
		169	900	169	900 Request for expedited examination of a design application
Other fee (specify)				SUBTOTAL (3) (\$ 40)	

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40)

## SUBMITTED BY

Name (Print/Type)	Thomas F. Lebens	Registration No. (Attorney/Agent)	38221	Telephone	888-567-7644
Signature		Date	March 22, 2001		

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